

A Guide to Expansive Soil and a Few Suggestions on How to Minimize its Effects

What is Expansive Soil?

The underlying clay soils found in this area are, in many instances, generally classified as "expansive." This means that a given amount of clay will tend to expand (increase in volume) as it absorbs water and it will shrink (lessen in volume) as water is drawn away.

What are the Effects of Expansive Soils?

The effects can be dramatic if expansive soils supporting structures are allowed to become too wet or too dry.

Patios, driveways and walkways may crack and heave as the underlying expansive soils become wet and swell. Sometimes the cracking and heaving appear temporary as the soils dry and shrink back to their original position.

However, footings can behave differently. The concentrated weight of the structure will inhibit the soil's upward expansion. Outward expansion on the other hand may continue. The footings will not be returned to their original position as the soils dry and shrink. Instead, they can "ooze" down to a slightly lower level. This process can accumulate if the wetting and drying is allowed to continue season after season, year after year.

- **Original Construction**

Foundation with load embedded in expansive soil at low to medium moisture content.

- **"Wet Behavior" - Lateral Soil Migration**

Lateral migration of soil particles occur as a result of lateral confining pressures being lower than vertical confining pressure by foundation.

- **"Dry Cycle Behavior" - Foundation Settlement**

Progressive settlement occurs with successive wet and dry cycles.

Damage is most noticeable if the footings "ooze" at varying rates under different areas of the structure. Cracks may appear, windows and doors may stick and floors may slope as the footings become progressively more out of level.

This differential can be caused by improper drainage, plumbing leaks, and even thirsty trees. (Please see the following section.)

What Can I Do to Minimize the Effects of Expansive Soil?

Some movement, resulting in hairline cracks, is likely to occur in houses built on expansive soils. However, a homeowner can minimize cracking and possibly prevent major damage by judicious attention to maintenance constant and acceptable moisture levels completely around the structure. Following are a few simple suggestions that might help.

1. **Roof Drainage** - Install rain gutters with downspouts that drain to the street via non-erodible surfaces.
2. **Planter and Yard Drainage** - All areas should drain to the street. Even puddles are potential problems.
3. **Concrete and Asphalt Areas** - These also should drain to the street. Where possible, concrete and asphalt should flow to a yard or planter area.
4. **Subsurface Drainage** - Install drains if necessary to eliminate ponding. Maintain all lines clean and free-flowing. Drain lines should discharge at the street.
5. **Repair Plumbing Leaks** - Monitor consumption. An unexplained increase your water bill could indicate a leak. Repair immediately.
6. **Landscaping** - Plan carefully. Trees, small ones, can draw huge amounts of from nearby soils. They should not be planted close to structures.
7. **Watering** - Year-round watering should be planned to avoid too much moisture in the rainy season and too little in the dry season. Automatic watering systems may help, but they can require a seasonal adjustment and attention to maintenance. If the valve accidentally sticks open, major damage could result.

DAMAGE TO FOUNDATIONS FROM EXPANSIVE SOILS

J. David Rogers, Robert Olshansky, and Robert B. Rogers

Expansive soils in many parts of the United States pose a significant hazard to foundations for light buildings. Swelling clays derived from residual soils can exert uplift pressures of as much as 5,500 PSF, which can do considerable damage to lightly-loaded wood-frame structures. Insurance companies pay out millions of dollars yearly to repair homes distressed by expansive soils.

Expansive soils owe their characteristics to the presence of swelling clay minerals. As they get wet, the clay minerals absorb water molecules and expand; conversely, as they dry they shrink, leaving large voids in the soil. Swelling clays can control the behavior of virtually any type of soil if the percentage of clay is more than about 5 percent by weight. Soils with smectite clay minerals, such as montmorillonite, exhibit the most profound swelling properties.

Potentially expansive soils can typically be recognized in the lab by their plastic properties. Inorganic clays of high plasticity, generally those with liquid limits exceeding 50 percent and plasticity index over 30, usually have high inherent swelling capacity. Expansion of soils can also be measured in the lab directly, by immersing a remolded soil sample and measuring its volume change.

In the field, expansive clay soils can be easily recognized in the dry season by the deep cracks, in roughly polygonal patterns, in the ground surface (see Fig. 1). The zone of seasonal moisture content fluctuation can extend from three to forty feet deep (see Fig. 2). This creates cyclic shrink/swell behavior in the upper portion of the soil column, and cracks can extend to much greater depths than imagined by most engineers.

Foundation Damage

The most obvious way in which expansive soils can damage foundations is by uplift as they swell with moisture increases. Swelling soils lift up and crack lightly-loaded, continuous strip footings, and frequently cause distress in floor slabs.

Because of the different building loads on different portions of a structure's foundation, the resultant uplift will vary in different areas. As shown in Fig. 3, the exterior corners of a uniformly-loaded rectangular slab foundation will only exert about one-fourth of the normal pressure on a swelling soil of that exerted at the central portion of the slab. As a result, the corners tend to be lifted up relative to the central portion. This phenomenon can be exacerbated by moisture differentials within soils at the edge of the slab. Such differential movement of the foundation can also cause distress to the framing of a structure.



Figure 1: Polygonal pattern of surface cracks in the dry season. These cracks are approximately one inch wide at the top. Note sewer manhole in background.



Figure 2: This crack is at least 32 inches deep. The yardstick was easily inserted to this depth; narrower, less straight cracks may extend much deeper.

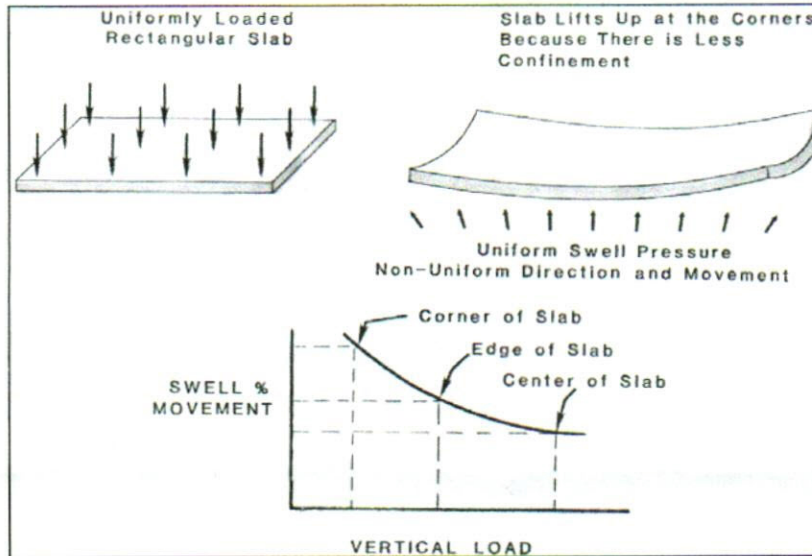


Figure 3: A rectangular slab, uniformly loaded, will tend to lift up in the corners because there is less confinement.

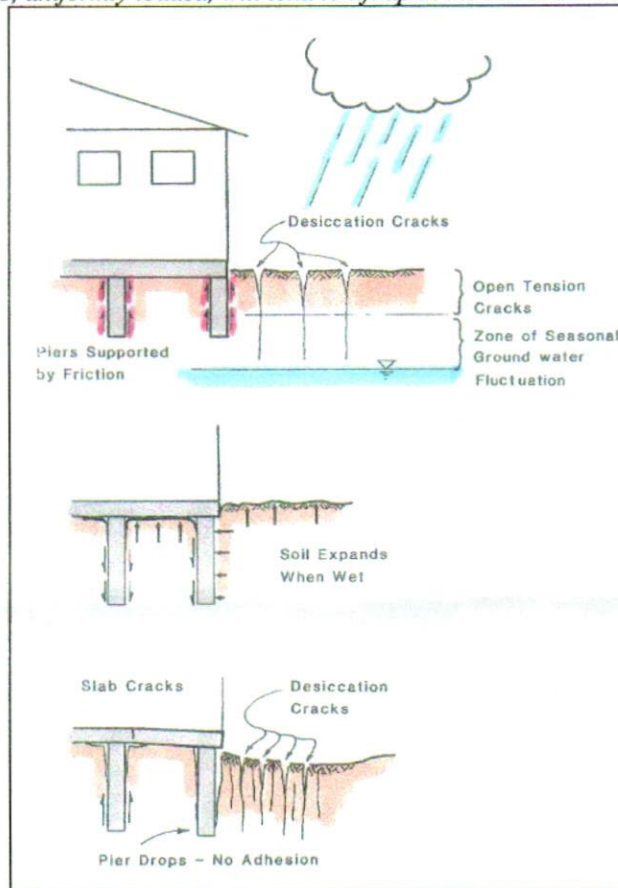


Figure 4: Damage to home supported on shallow piers. (1) At the beginning of the rainy season, the piers are still supported by friction with the soil. When it begins to rain, water enters deep into the soil through the cracks. (2) After 5 to 10 large storms, the soil swells, lifting the house and piers. (3) In the dry season, the groundwater table falls and the soil dries and contracts. As tension cracks grow around the pier, the skin friction is reduced and the effective stress of the soil increases (due to drying). When the building load exceeds the remaining skin friction, or the effective stress of the soil increases to an all-time high, adhesion is broken by this straining, and the pier sinks.

Drilled pier foundations have been used in California, Colorado and Texas since the late 1950s to reduce expansive soil damage. However, these types of foundations can also be adversely affected by expansive soil behavior if the piers are not sufficiently deep. Frequently, the corner piers of a pier-supported structure are lifted up during swelling in the wet season, and then break their skin friction bond with the ground when the soil shrinks away from the pier in the following dry season (Fig. 4). Loss of this "skin friction" decreases the pier's ability to support building loads. This straining to the soil can become great enough that the pier falls. To prevent this style of damage, the piers must be drilled well below the zone of seasonal moisture fluctuation, and they must be designed with the assumption that the upper portions of the pier will lose contact with the adjacent soil.

Shallow pipes, especially plastic pipes, buried in the zone of seasonal moisture fluctuation, are exposed to enormous stresses by shrinking soils. If water or sewage pipes break, then the resultant leaking moisture can exacerbate swelling damage to nearby structures.

Concrete drainage devices can be adversely affected by expansive soils (see Fig. 5). Swelling clays can lift and crack concrete ditches, seriously impairing their ability to convey runoff. Subsequent contraction may leave a void under the concrete, leading to piping and erosion as runoff flows under the ditch.



Figure 5: *Void created under concrete drainage interceptor ditch by contraction of clay soils.*

Expansive soils pose the greatest hazard in regions with pronounced wet and dry seasons. The annual cycle of wetting and drying causes soils to shrink and swell each year. Thus, the arid regions of the country are much more susceptible to damage from expansive soils than regions that maintain moist soil conditions throughout the year.

The biggest problem in expansive soil areas is that of differential water content. Sources of water in developed areas are not limited to temporal weather cycles, but can be introduced by people. A frequent source of damage is the differential swelling caused by pockets of moist soil adjacent to dry soil. For example, lawn and garden watering creates a moist zone on the exterior of a foundation, whereas the interior is dry; this creates differential swelling pressure on foundation elements. There is frequently a moisture differential between the soils beneath a house and those

Expansive soils cause major damage to light foundations and associated structures. However, engineers have the ability to recognize swelling clay soils and to design structures that can withstand the effects. Enlightened design of deep foundations, and effective drainage of landscape irrigation and swimming pool leakage could dramatically reduce damage to new homes. Grading codes and building codes need to recognize the hazards of expansive soils and provide explicit mitigations.

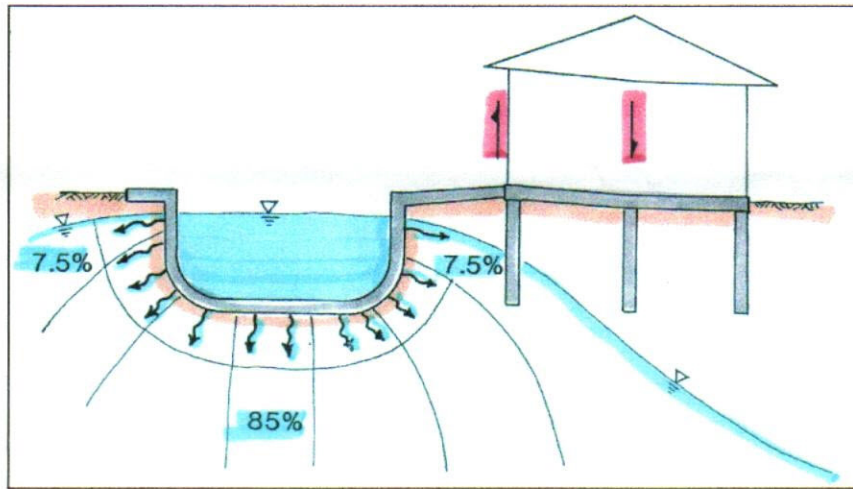


Figure 6: Pool shells always leak some amount of water from natural percolation through the structural gunite skin. If the pool is close enough to adjacent foundations, the entire building can be lifted differentially.

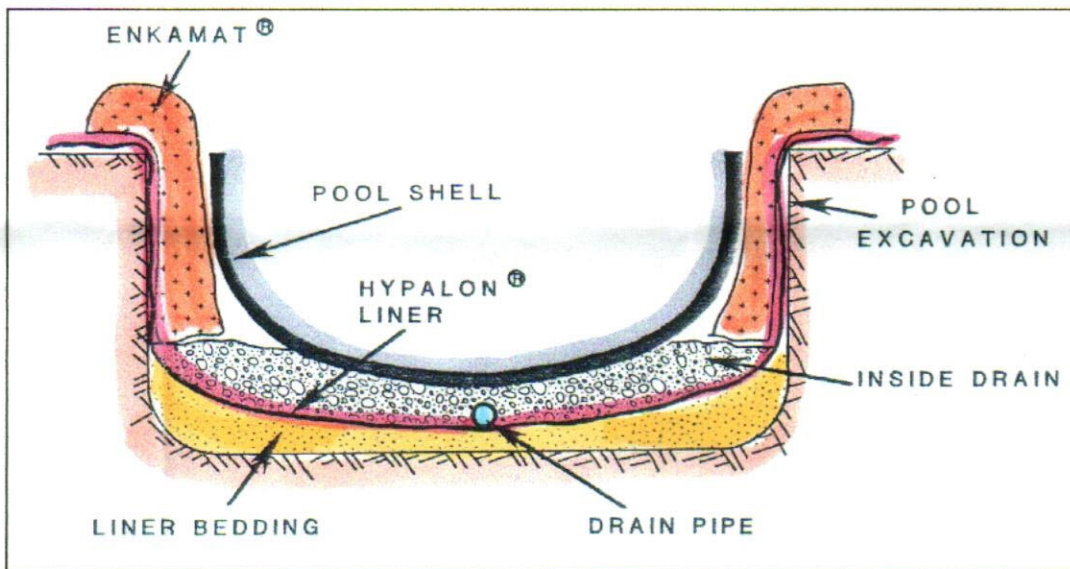


Figure 7: A design for a swimming pool placed in expansive soils. A liner prevents pool leakage from seeping into adjacent soils. The leakage is collected in a gravel drain beneath the pool and conveyed offsite via a drain pipe. The crushable Enkamat R retards soil swelling pressures against the sides of the pool and also aids vertical drainage.

that are more directly exposed to changes in the weather. Cesspools, leaky pipes, and swimming pools are other common sources of water.

Mitigation Measures

The best way to avoid damage from expansive soils is to extend building foundations beneath the zone of water content fluctuation. The reason is twofold: first, to provide for sufficient skin friction adhesion below the zone of drying; and, second, to resist upward movement when the surface soils become wet and begin to swell.

Successive drought years have demonstrated that the zone of seasonal fluctuation can extend much deeper than previously believed. Piers extending to depths of six feet can withstand normal annual fluctuations, but do not appear adequate when taken over the long haul, such as a two-year drought followed by an extremely wet year.

Another way of mitigating expansive soil problems is to collect surface runoff and to limit surface infiltration during the rainy winter months. In Orange County, California, considerable experience in subdivisions has shown that it is important to provide for positive surface drainage away from all points around building foundations. Much of this experience was incorporated into the Appendix Ch. 18 Excavation and Grading statutes of the Uniform Building Code (UBC), which were not adopted in the 2000 IBC.

Case Study - Swimming Pools

Swimming pools constructed near home foundations in expansive clay soils are frequently sources of damaging moisture. Such swimming pool shells are typically constructed of airblown mortar (gunite). The gunite skin of a swimming pool is rarely designed for swell pressures generated by expansive clays. Furthermore, in all swimming pools the water percolates through the shell and adds significant amounts of water to adjacent soils. Since pools are generally in arid climates, this creates a moisture differential which can be severe enough to differentially lift adjacent pool decks and house foundations, as shown in Fig. 6. It can lead to destruction of the pool itself.

A design for a swimming pool shell in a highly expansive soil environment is presented in Fig 7. Pools of this design have been constructed at several locations in California with great success. Construction begins with overexcavation for the pool. The excavation is lined with a single sheet of Hypalon R or similar HDPE membrane material to provide a barrier for the collection of moisture that seeps naturally through the pool shell. A second line of defense consists of crushable Enkamat K to retard potential swelling pressures against the pool sides. This also serves as a vertical subdrain material to guide water to a central collection drain beneath the pool. This drain between the liner and gunite shell then feeds into a drain pipe to convey water off the site. This system works best in sloping areas that can provide positive drainage from below the pool. The same design, however, could be used in flat terrain through the use of an impervious sump and automatic pump beneath the pool.

Conclusion - A Problem That Can Be Solved